

Internal Audit Reviews Completed in 2021/22

Department/Audit	Original Assurance Rating	Recommendations Made		
		Red	Amber	Green
Barbican Centre - Ticketing System	Amber	0	5	1
Built Environment - Building Control and Planning Income and Expenditure	Green	0	1	0
Chamberlain's - Development of a Corporate Finance Strategy - Debt Financing	Green	0	0	0
Chamberlain's - Corporate Contract Management	Amber	0	3	2
City of London Police - Cyber Security	Green	0	2	2
City of London Corporation - Cyber Security	Amber	0	1	5
Community and Children's Services - Housing Fire Safety	Amber	0	6	1
Community and Children's Services - Social Care Contract Monitoring	Amber	0	7	0
Corporate-Wide - P-Cards	Green	0	3	10
Open Spaces Department - Wayleaves	Amber	0	7	3
Built Environment - Gigabit City	Green	0	2	4
City Surveyors - Rents, Lettings and Vacancies	Amber	0	2	2
Chamberlain's - Supplier Resilience	Green	0	1	0
Built Environment - Waste Collection Income and Expenditure (2020/21)	Green	0	1	0
Community and Children's Services - Unregulated Placements - Children (2020/21)	Amber	0	5	0
City Bridge Trust - Grants Administration and Compliance with Strategy (2020/21)	Green	0	0	4
Guildhall School of Music and Drama -Universities UK Return	Amber	0	7	5
City of London Police - IT Service Provision - Contract Management and Performance	Red	1	4	2
Community and Children's Services - Housing Rents	Green	0	2	2
Chief Operating Officer (IT) - Information Management	Amber	0	7	5
Chamberlain's - Accounts Payable	Green	0	1	1

Appendix 4

Department/Audit	Original Assurance Rating	Recommendations Made		
		Red	Amber	Green
Corporate Wide - Major Projects Governance Arrangements - Salisbury Square Development	Amber	0	6	1
Corporate Wide - Infrastructure/Physical Security	Green	0	0	0
Community and Children's Services - Adult Skills and Education Services Income	Red	5	0	1
City of London Police - Key Financial Controls - Payroll	Amber	0	2	0
Barbican Centre - Retail - Online Shop and Branded Merchandise	Amber	0	5	2
Barbican Centre - ED&I Consultancy	Amber	0	0	0
City of London School - Risk Management	Green	0	0	0
City of London School for Girls - Risk Management	Green	0	0	0
Corporate Wide - Major Projects Governance Arrangements - Smithfield Market Landlord Works	Amber	0	4	0
Corporate Wide - Major Projects Governance Arrangements - New Museum	Red	0	0	0
Innovation and Growth - Project Management Approach	Amber	0	8	1
City of London Police - Funding	Red	2	1	1
Chief Operating Officer - Payroll - Compliance Testing	Amber	0	5	2
Community and Children's Services - Barbican Estates Rents	Green	0	0	1
Guildhall School of Music and Drama - Data Quality - Research	Amber	0	3	1
	Total	8	101	59